



Attorney Docket No.: YOR920030580US1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Patent Application**

Applicants: P.M. Dantzig et al.  
Docket No.: YOR920030580US1  
Serial No.: 10/789,087  
Filing Date: February 27, 2004  
Group: To Be Assigned  
Examiner: To Be Assigned

I hereby certify that this paper is being transmitted on this date via facsimile to the Assignment Division of the United States Patent and Trademark Office at (703) 306-5995.

Signature: *David R. Chulpin* Date: March 25, 2004

Title: Method and Apparatus for Hierarchical  
Selective Personalization

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**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

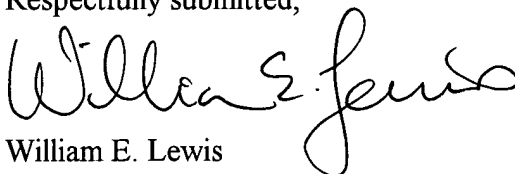
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants' attorney wishes to bring to the attention of the Patent and Trademark Office the following documents listed on the accompanying Form PTO-1449. A copy of each listed document is enclosed.

1. J. Wang, "A Survey of Web Caching Schemes for the Internet," ACM Computer Communication Review (CCR), Vol. 29, No. 5, 11 pages, October 1999.
2. J. Challenger et al., "Efficiently Serving Dynamic Data at Highly Accessed Web Sites," IBM Research Report No. RC22823, pp. 1-38, June 2003.

It is believed that there is no fee due in conjunction with the filing of this Information Disclosure Statement. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit **International Business Machines Corporation Deposit Account No. 50-0510** as required to correct the error.

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Respectfully submitted,

A handwritten signature in black ink, appearing to read "William E. Lewis". The signature is fluid and cursive, with the first name "William" being the most prominent part.

Date: March 25, 2004

William E. Lewis  
Reg. No. 39,274  
Attorney for Applicant(s)  
Ryan, Mason & Lewis, LLP  
90 Forest Avenue  
Locust Valley, NY 11560  
(516) 759-2946

FORM PTO-1449 (MODIFIED)

LIST OF PUBLICATIONS FOR  
APPLICANT'S INFORMATION  
DISCLOSURE STATEMENT



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U.S. PATENT DOCUMENTS

EXAMINER						FILING DATE
INITIAL	DOCUMENT NO.	DATE	NAME	CLASS/SUBCLASS	IF APPROPRIATE	

FOREIGN PATENT DOCUMENTS

EXAMINER						TRANSLATION
INITIAL	DOCUMENT NO.	DATE	COUNTRY	CLASS/SUBCLASS	YES	NO

OTHER DOCUMENTS

EXAMINER				
INITIAL	REF NO.	AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.		

1. J. Wang, "A Survey of Web Caching Schemes for the Internet," ACM Computer Communication Review (CCR), Vol. 29, No. 5, 11 pages, October 1999.

2. J. Challenger et al., "Efficiently Serving Dynamic Data at Highly Accessed Web Sites," IBM Research Report No. RC22823, pp. 1-38, June 2003.